SUMMARY

INTRODUCTION
In an effort to enhance understanding of initiatives and to establish a consistent framework for performance measurement and quality improvement, the Rapid Cycle Evaluation Group aims to align indicators used for evaluations across the Innovation Center. Measurement alignment is necessary for the Innovation Center to examine the overall impact of its programs on the health of populations, quality, and efficiency of care, and to compare the effectiveness of different models.

MEASURE INCLUSION PROCESS
As a way to coordinate with other movements both external and internal to CMS, the list includes, but is not limited to, measures recommended by the Measure Applications Partnership, Patient-Centered Medical Home Collaborative, National Committee for Quality Assurance, CMS quality reporting programs, and AHRQ standards. In addition, measures identified in this core list were compared against a scan of monitoring and evaluation measures that CMS have used or considered in the past.

A majority of the indicators found in this document have been endorsed by the National Quality Forum (NQF). Because NQF employs a consensus-based approach to assess the feasibility, reliability, validity, and usability of quality performance measures, and is the premier organization for evaluating and endorsing health care performance measures, we encourage the use of NQF endorsed measures to the extent possible. In the absence of an NQF endorsed standard, we have identified indicators or recommendations from other sources that we believe are appropriate for use.

ALIGNMENT AND USE
Due to a multitude of diverse programs, unique intervention approaches, and varying availability of data, no parsimonious set will adequately serve as indicators for any of our programs. This core list is intended to identify a minimum set of meaningful measures for CMMI evaluations and other analysis. We acknowledge that there are many measurement gaps and that not all measures are applicable to all patients and settings. We ask our contractors and intramural researchers to align with the indicators in the core list conceptually, and to align with the indicators operationally when possible. In other words, these measures should be used as they are specified when applicable and broadened and modified as appropriate to the population being studied. For additional indicators that are not available on this “core” list, we have assembled a much longer menu of possible indicators and measurement areas to consider.

For NQF endorsed measure specific information and technical specifications, please contact the Measure Steward. The contact information for each Measure Steward can be found by the measure ID on the NQF website: http://www.qualityforum.org/Measures_List.aspx.

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2 The level of analysis and setting is defined by the Measure Stewards and has not been standardized in this document.
GLOSSARY

Level of Analysis (Classification Category): Level(s) at which measurement is assessed.
- **G/P**—Group/Practice. Two or more healthcare clinicians/providers who practice together, either at a single geographic location or at multiple locations.
- **HP**—Health Plan. An organization that acts as an insurer for an enrolled population.
- **Individual**—An individual healthcare clinician/provider, which may include but is not limited to, a physician, a nurse, and an allied health professional.
- **IDS**—Integrated delivery system. A healthcare entity that may include a variety of facilities and/or services including, but not limited to, hospitals, medical groups, skilled nursing facilities, home health, and/or insurance vehicles. This includes delivery systems that assume responsibility across settings for the complete patient-focused episode of care, such as accountable care organizations.
- **Individual**—An individual healthcare clinician/provider, which may include but is not limited to, a physician, a nurse, and an allied health professional.
- **Regional**—A group of individuals within a geographical area that exists within or across one or more states.

Setting (Classification Category): Settings or services for which the measure applies and is assessed
- **CO**—Clinician Office. Setting in which outpatient healthcare services are provided by physicians or other healthcare providers, including but not limited to, primary care, family practice, general internal medicine, and faculty practice plans.
- **C/UC**—Clinic /Urgent Care. Setting in which urgent care services are provided. Urgent care services are medically necessary services which are required for an illness or injury that would not result in further disability or death if not treated immediately, but require professional attention and have the potential to develop such a threat if treatment is delayed longer than 24 hours.
- **Facility**—A single entity that provides healthcare, which may include but is not limited to, a hospital, nursing home, dialysis center, and home health agency.
- **H/ACF**—Hospital/Acute Care Facility. Setting in which healthcare services, including but not limited to, diagnostic, therapeutic, medical, surgical, obstetric, and nursing are provided, by or under the supervision of physicians, to patients admitted for a variety of health conditions.
- **HH**—Home Health. Limited part-time or intermittent skilled nursing care and home health aide services, physical therapy, occupational therapy, speech-language therapy, medical social services, durable medical equipment (such as wheelchairs, hospital beds, oxygen, and walkers), medical supplies, and other services that are provided to a patient in his/her home or place of residence.
- **IPBH**—Inpatient (IP) behavioral health/psychiatric services.
- **NH/SNF**—Nursing Home/Skilled Nursing Facility. Setting in which healthcare services are provided under medical supervision and continuous nursing care for patients who do not require the degree of care and treatment which a hospital provides and who, because of their physical or mental condition, require continuous nursing care and services above the level of room and board.
- **OP**—Outpatient.
- **Rehab**—Rehabilitation. Setting in which long-term, comprehensive rehabilitation services are provided to patients for the alleviation or amelioration of the disabling effects of illness. These services are provided by various health professionals including, but not limited to, nurses and physical, occupational, and speech therapists.
Domains and Descriptions

The following measure types and associated definitions reflect the organization of measures found in this document. Measures may fall under multiple domains and can be cross-cutting.

<table>
<thead>
<tr>
<th>Structure</th>
<th>Process</th>
<th>Outcome</th>
<th>Care Experience</th>
<th>Cost and Resource Use</th>
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<tr>
<td><strong>HIT Utilization</strong>&lt;br&gt;Measures related to use of HIT</td>
<td><strong>Preventive Care</strong>&lt;br&gt;Measures examining provision of preventive care</td>
<td><strong>Mortality</strong>&lt;br&gt;Mortality measures including disease-specific or all-cause, reported for a specific time period</td>
<td><strong>Patient, Care Giver Experience</strong>&lt;br&gt;Measures or surveys that use feedback from patients and their families about their experience with care</td>
<td><strong>Cost of Care</strong>&lt;br&gt;Measures and recommendations for calculating cost of care</td>
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<td><strong>Clinical Care</strong>&lt;br&gt;Measures assessing adherence to processes of care</td>
<td><strong>Care Coordination</strong>&lt;br&gt;Measures assessing relationship and communication between providers and patients, including plan of care development and follow-up; follow-up to tests, referrals, etc.; availability of patient information to necessary caregivers/patient/family members; and care transition issues</td>
<td><strong>Morbidity</strong>&lt;br&gt;Intermediate outcome measures that describe level of health or disease</td>
<td><strong>Readmissions</strong>&lt;br&gt;Measures related to n-day readmissions</td>
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<td><strong>Ambulatory Care Sensitive Condition (ACSC) Admissions</strong>&lt;br&gt;Mortality measures including disease-specific or all-cause, reported for a specific time period</td>
<td><strong>Functional and Health Status Change</strong>&lt;br&gt;Assessment tools that examine changes in patient outcomes related to functional and other health</td>
<td><strong>ER/ED Visits:</strong>&lt;br&gt;Measures tied to utilization of the ED/ER</td>
<td><strong>Ambulatory Care Sensitive Condition (ACSC) Admissions</strong>&lt;br&gt;Mortality measures including disease-specific or all-cause, reported for a specific time period</td>
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**Structure**: Features of a healthcare organization or clinician relevant to the capacity to provide healthcare. This may include, but is not limited to, measures that address health IT infrastructure, provider capacity, systems, and other healthcare infrastructure supports.

**Process**: A healthcare service provided to, or on behalf of, a patient. This may include, but is not limited to, measures that may address adherence to recommendations for clinical practice based on evidence or consensus.

**Outcome**: The health state of a patient (or change in health status) resulting from healthcare—desirable or adverse.

**Care Experience**: Patient and their care givers’ experience of care

**Cost and Resource Use**: Counting the frequency of units of defined health system services or resources; some may further apply a dollar amount (e.g., allowable charges, paid amounts, or standardized prices) to each unit of resource use (i.e., monetize the health service or resource use units)

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<thead>
<tr>
<th>Domain</th>
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<th>Setting</th>
<th>Level of Analysis</th>
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</table>
| Structure, HIT Utilization | 0486 | Adoption of Medication e-Prescribing  
Documents whether provider has adopted a qualified e-Prescribing system and the extent of use in the ambulatory setting | CO | Individual |
| | 0488 | Adoption of Health Information Technology  
Documents whether provider has adopted and is using health information technology. To qualify, the provider must have adopted and be using a certified/qualified EHR | All Settings | Individual |
| | 0489 | Ability for Providers with HIT to Receive Laboratory Data Electronically Directly into their Qualified/Certified EHR System as Discrete Searchable Data  
Documents the extent to which a provider uses certified/qualified EHR system that incorporates an electronic data interchange with one or more laboratories allowing for direct electronic transmission of laboratory data into the EHR as discrete searchable data elements | CO, Other | Individual |
| Preventive Care | 0038 | Childhood Immunization Status  
Measure calculates a rate for each recommended vaccines and nine separate combination rates. | CO | Individual |
| | 0041 | Influenza Vaccination  
Percentage of patients aged 6 months and older seen for a visit between October 1 and the end of February who received an influenza immunization OR patient reported previous receipt of an influenza immunization | CO | Individual |
| | 0043 | Pneumonia Vaccination Status for Older adults  
Percentage of patients 65 years of age and older who ever received a pneumococcal vaccination | CO | Individual |
| | 0028 | Measure Pair: A) Tobacco Use Assessment, B) Tobacco Cessation Intervention  
A) Percentage of patients who were queried about tobacco use one or more times during the two-year measurement period, B)Percentage of patients identified as tobacco users who received cessation intervention during the two-year measurement period | CO | Individual |
| | 0034 | Colorectal Cancer Screening  
Percentage of members 50-75 years of age who had appropriate screening for colorectal cancer | CO | Individual, Team, G/P, HP |
| Process | 1392 | Well-Child Visits in the First 15 Months of Life  
Percentage of members who turned 15 months old during the measurement year and who had the following number of well-child visits with a PCP during their first 15 months of life. | CO | HP, IDS, Regional |
| | 1516 | Well-Child Visits in the Third, Fourth, Fifth, and Sixth Years of Life  
Percentage of members 3–6 years of age who received one or more well-child visits with a PCP during the measurement year | CO | HP, IDS, Regional |
| | 0024 | Body Mass Index (BMI) 2 through 18 Years of Age  
Percentage children, 2 through 18 years of age, whose weight is classified based on BMI percentile for age and gender | CO | Individual |
| | 0421 | Adult Weight Screening and Follow-Up  
Percentage of patients aged 18 years and older with a calculated BMI documented in the medical record AND if the most recent BMI is outside the parameters, a follow up plan is documented | All | All |
| Clinical Care, Medication | 0541 | Proportion of Days Covered: 5 Rates by Therapeutic Category  
Percentage of patients 18 years and older who met the proportion of days covered threshold of 80% during the measurement year. Rate is calculated separately for the following medication categories: Beta-Blockers, ACEI/ARB, Calcium-Channel Blockers, Diabetes Medication, Statins | CO, HH, Other | All Levels |
| Diabetes | 0055 | Eye Exam  
Percentage of adult patients with diabetes aged 18-75 years who received an eye screening for diabetic retinal disease during the measurement year | CO | G/P, Individual |
| | 0056 | Foot Exam  
Percentage of adult patients with diabetes aged 18-75 years who received a foot exam (visual inspection, sensory exam with monofilament, or pulse exam) | CO | Individual |
| | 0062 | Urine Protein Screening  
Percentage of adult diabetes patients aged 18-75 years with at least one test for microalbumin during the measurement year or who had evidence of medical attention for existing nephropathy (diagnosis of nephropathy or documentation of microalbuminuria or albuminuria) | CO | Individual, G/P, IDS, Reg. |
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| CAD    | 0066   | ACE Inhibitor or ARB Therapy—Diabetes and/or LVSD  
Percentage of patients aged 18 years and older with a diagnosis of CAD seen within a 12 month period who also have diabetes or a current or prior LVEF <40% who were prescribed ACE inhibitor or ARB therapy | CO, OP, HH, C/UC,NH/SNF, G/P, Individual |
| HF     | 0074   | Lipid Control  
Percentage of patients aged 18 years and older with a diagnosis of CAD seen within a 12 month period who have a LDL-C result <100 mg/dL OR patients who have a LDL-C result >=100 mg/dL and have a documented plan of care to achieve LDL-C <100mg/dL, including at a minimum the prescription of a statin | CO, OP, HH, C/UC, NH/SNF, G/P, Individual |
| HF     | 0083   | Beta-blocker Therapy for Left Ventricular Systolic Dysfunction  
Percentage of patients aged 18 years and older with a diagnosis of heart failure with a current or prior LVEF < 40% who were prescribed beta-blocker therapy either within a 12 month period when seen in the outpatient setting or at hospital discharge | C/UC, CO, HH, H/ACF, NH/SNF |
| IVD    | 0068   | Use of Aspirin or Another Antithrombotic  
Percentage of patients 18 years and older with IVD who were discharged alive for AMI, CABG or PCI from January 1-November 1 of the year prior to the measurement year, or who had a diagnosis of IVD during the measurement year and the year prior to the measurement year and who had use of aspirin or another antithrombotic during the measurement year. | G/P, Individual |
| IVD    | 0075   | Complete Lipid Profile and LDL Control <100  
Percentage of patients 18 years of age and older who were discharged alive for AMI, CABG or PCI from January 1–November 1 of the year prior to the measurement year, or who had a diagnosis of IVD during the measurement year and the year prior to measurement year, who had each of the following during the measurement year: Complete Lipid Profile and LDL-C control <100mg/dL | G/P, Individual |
| AMI    | 0164   | Fibrinolytic Therapy Received Within 30 Minutes of Hospital Arrival  
Percentage of AMI patients with ST-segment elevation or LBBB on the ECG closest to arrival time receiving fibrinolytic therapy during the hospital stay and having a time from hospital arrival to fibrinolysis of 30 minutes or less | H/ACF, Facility, Reg. |
| AMI    | 0288   | Fibrinolytic Therapy Received Within 30 Minutes of ED Arrival  
Emergency Department AMI patients receiving fibrinolytic therapy during the ED stay and having a time from ED arrival to fibrinolysis of 30 minutes or less | H/ACF, C/UC, Facility, Reg. |
| AMI    | 0163   | Primary PCI Received within 90 Minutes of Hospital Arrival  
Percentage of AMI patients with ST-segment elevation or LBBB on the ECG closest to arrival time receiving primary PCI during the hospital stay with a time from hospital arrival to PCI of 90 minutes or less | H/ACF, Facility, Reg. |
| AMI    | 0290   | Median Time to Transfer to Another Facility for Acute Coronary Intervention  
Median time from ED arrival to time of transfer to another facility for acute coronary intervention | H/ACF, C/UC, All Levels |
| COPD   | 0102   | COPD: Bronchodilator Therapy  
Percentage of symptomatic patients with COPD who were prescribed an inhaled bronchodilator | CO, Individual |
| COPD   | 0001   | Asthma: Asthma Assessment  
Percentage of patients who were evaluated during at least one office visit for the frequency of daytime and nocturnal asthma symptoms | CO, Individual |
| Respiratory | 0047 | Asthma: Pharmacologic Therapy  
Percentage of all patients with mild, moderate, or severe persistent asthma who were prescribed either the preferred long-term control medication (inhaled corticosteroid) or an acceptable alternative treatment | CO, Individual |
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| Drug Dependence | 0004 | **Initiation and Engagement of Alcohol and Other Drug Dependence Treatment**<br>The percentage of adolescent and adult members with a new episode of alcohol or other drug (AOD) dependence who received the following.  
   a) Initiation of AOD Treatment: The percentage of members who initiate treatment through an IP AOD admission, OP visit, intensive OP encounter or partial hospitalization within 14 days of the diagnosis.  
   b) Engagement of AOD Treatment: The percentage of members who initiated treatment and who had two or more additional services with a diagnosis of AOD within 30 days of the initiation visit. | CO, H/ACF  
G/P, HP, Individual, IDS, Reg. |
| Process | 0529 | **Prophylactic Antibiotics Discontinued Within 24 Hours After Surgery End Time**<br>Surgical patients whose prophylactic antibiotics were discontinued within 24 hours after Anesthesia End Time (48 hours for CABG or Other Cardiac Surgery). The Society of Thoracic Surgeons Practice Guideline for Antibiotic Prophylaxis in Cardiac Surgery (2006) indicates that there is no reason to extend antibiotics beyond 48 hours for cardiac surgery and very explicitly states that antibiotics should not be extended beyond 48 hours even with tubes and drains in place for cardiac surgery. | H/ACF  
Facility |
| Clinical Care | 0218 | **Surgery Patients Who Received Appropriate VTE Prophylaxis Within 24 Hours Pre/post-surgery**<br>Percentage of surgery patients who received appropriate Venous Thromboembolism (VTE) Prophylaxis within 24 hours prior to surgery to 24 hours after surgery end time | H/ACF  
All Levels |
| Mental Health | 0418 | **Screening for Clinical Depression**<br>Percentage of patients aged 18 years and older screened for clinical depression using an standardized tool and follow-up plan documented | CO, H/ACF, NH/SNF, Individual |
| | 0576 | **Follow-Up After Hospitalization for Mental Illness**<br>Percentage of discharges for members 6 years of age and older who were hospitalized for treatment of selected mental health disorders and who had an OP visit, an intensive OP encounter, or partial hospitalization with a mental health practitioner. Two rates are reported: 1) the percentage of members who received follow-up within 30 days of discharge, 2) the percent of members who received follow-up within 7 days of discharge | CO, IPBS, OPBS  
Individual, HP, G/P, IDS, Reg |
| Prenatal | 1391 | **Frequency of Ongoing Prenatal Care**<br>Measure examines the percentage of Medicaid deliveries that received various numbers of expected prenatal visits. | CO  
Health Plan, IDS, Regional |
| Care Coordination | 0228 | **3-Item Care Transition Measure**<br>Uni-dimensional patient self-reported survey that measure the quality of preparation for care transitions (to be included in HCAHPS) | H/ACF  
Facility |
| | 0647 | **Transition Record with Specified Elements Received by Discharged Patients**<br>Percentage of patients, regardless of age, discharged from an inpatient facility to home or any other site of care, or their caregiver(s), who received a transition record (and with whom a review of all included information was documented) at the time of discharge | H/ACF, Rehab, NH/SNF  
Facility, IDS |
| | 0648 | **Care Transition Record Transmitted to Health Care Professional**<br>Percentage of patients, regardless of age, discharged from an inpatient facility to home or any other site of care for whom a transition record was transmitted to the designated health care provider for follow-up care within 24 hours. | H/ACF, Rehab, NH/SNF  
Facility, IDS |

4 Paired measure with HBIPS-7
5 Paired measure with HBIPS-6
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| Process Care Coordination | 0097   | **Medication Reconciliation**  
Percentage of patients aged 65 years and older discharged from any IP facility (e.g., hospital, skilled nursing facility, or rehabilitation facility) and seen within 60 days following discharge in the office by the physician providing on-going care who had a reconciliation of the discharge medications with the current medication list in the medical record documented. | H/ACF Facility            |
| Mortality HSN          | 0229, 0230 | **30-Day Mortality Rate, Risk Adjusted**  
The measure estimates a hospital-level risk-standardized mortality rate (RSMR), defined as death from any cause within 30 days after the index admission date, for patients 18 and older discharged from the hospital. These measures are specified for HF and AMI.                   | H/ACF Facility            |
| Morbidity HTN          | 0729   | **Optimal Diabetes Care**  
The percentage of patients 18-75 with a diagnosis of diabetes, who have optimally managed modifiable risk factors (A1c<8.0%, LDL<100 mg/dL, blood pressure<140/90 mm Hg, tobacco non-use and daily aspirin usage for patients with diagnosis of IVD) with the intent of preventing or reducing future complications associated with poorly managed diabetes. | G/P, IDS Individual      |
| Outcome Healthy Term Newborn | 0018  | **HTN: Controlling High Blood Pressure**  
Percentage of patients > 18 years of age with a diagnosis of hypertension in the first six months of the measurement year or any time prior with last BP < 140/90 mm Hg                                                                                                           | All Settings Facility     |
| Outcome Elective Delivery Prior to 39 Completed Weeks Gestation | 0469   | **Elective Delivery Prior to 39 Completed Weeks Gestation**  
Percentage of babies electively delivered prior to 39 completed weeks gestation                                                                                                                                                                                                                                                                                                                                                                                   | H/ACF Facility            |
| Outcome Cesarean Rate for Low-Risk First Birth Women | 0471   | **Cesarean Rate for Low-Risk First Birth Women**  
Percentage of low-risk first birth women (aka NTSV CS rate: nulliparous, term, singleton, vertex) with a Cesarean rate that has the most variation among practitioners, hospitals, regions and states.                                                                                                                                                                                                                                                                                  | H/ACF Facility            |
| Outcome Healthy Term Newborn | 0716   | **Healthy Term Newborn**  
Percent of term singleton live births (excluding those with diagnoses originating in the fetal period) who do NOT have significant complications during birth or the nursery care                                                                                                          | H/ACF Facility, IND, Team Reg. |
| Outcome Continuity Assessment Record and Evaluation Tool (CARE Tool)^6  
The CARE Tool was developed for CMS for the purpose of creating a uniform patient assessment instrument at acute hospital discharge and at post acute care admission and discharge. CARE is designed to measure outcomes in physical and medical treatments while controlling for factors that affect outcomes, such as cognitive impairments and social and environmental factors. Four major domains are included in the tools: medical, functional, cognitive impairments, and social/environmental factors. | H/ACF Facility            |
| Outcome CARE-F and CARE-C Assessment Tools for Nursing Facilities, Day Rehabilitation Programs, and Other ambulatory Settings in the Community^7  
Under the DOTPA project, three versions of the assessment tool for nursing facilities, day rehabilitation programs, and other ambulatory settings in the community were developed. These assessments encompass distinct domains of patient need and utilize a set of patient self-assessment items (based on the AM-PAC) and clinician-reported items (based on the CARE tool). | Facility Individual      |
| Outcome Activity Measure for Post Acute Care (AM-PAC)-CMS DOTPA Short Form Public Domain Version  
The AM-PAC is a functional status assessment instrument developed specifically for use in facility and community dwelling post acute care patients. Unlike traditional functional outcome measures which are disease, condition, or setting-specific, the AM-PAC was designed to be used across patient diagnoses, conditions and settings where post acute care is being provided; therefore, the AM-PAC is useful for developing benchmarks and for examining functional outcomes over an episode of post acute care, as patients move across care settings.^8 | Facility Individual      |
| Safety Surgical Site Infection | 0299   | **Surgical Site Infection**  
Percentage of surgical site infections occurring within thirty days after the operative procedure if no implant is left in place or with one year if an implant is in place in patients who had an NHSN operative procedure performed during a specified time period and the infection appears to be related to the operative procedure.                                                                                                                          | H/ACF Facility            |

^8 Contact jun.li@cms.hhs.gov for additional information on the CMS DOTPA Short Form public domain version.
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| Outcome Safety     | 0531    | **Patient Safety for Selected Indicators**  
A composite measure of potentially preventable adverse events for selected indicators including pressure ulcers, iatrogenic pneumothorax, central venous catheter-related bloodstream infections, postop ip fracture, postop hemorrhage or hematoma, postop physiologic and metabolic derangements, postop respiratory failure, postop PE or DVT, postop sepsis, postop wound dehiscence, accidental puncture or laceration | H/ACF       | Facility          |
| Patient, Care Giver Experience | 0005-0009, 0517, 0691-0693, 0258 | **CAHPS® surveys**  
Consumer Assessment of Healthcare Providers and Systems (CAHPS®) surveys ask consumers and patients to report on and evaluate their experiences with health care. We recommend the usage of CAHPS surveys in every setting of care for which they are available. | Hospice     | Facility, National |
| Patient, Care Giver Experience | 0208    | **Family Evaluation of Hospice**  
Composite Score: Derived from responses to 17 items on the Family Evaluation of Hospice Care (FEHC) survey presented as a single score ranging from 0 to 100. | Facility, National | Facility, National |
| Patient, Care Giver Experience | 0209    | **Comfortable Dying: Pain Brought to a Comfortable Level Within 48 Hours of Initial Assessment**  
Number of patients who report being uncomfortable because of pain at the initial assessment (after admission to hospice) services who report pain was brought to a comfortable level within 48 hours. | Facility, National | Facility, National |
| Cost and Resource Use |        | **Total Medicare Part A and B Cost Calculation Recommendations**\(^9\) (allowed amounts)  
a. Price standardize for DSH, IME, and area wages. Method of pricing should be transparent and standardized when possible to reflect underlying utilization changes and not artifacts of the Medicare payment system.  
b. No routine truncation of extreme values except those related to obvious data errors. If truncation is necessary, model diagnostics and sensitivity analyses are recommended  
c. Recommend risk adjustment  
d. Partial year observations or incomplete calendar year FFS claims should be annualized by prorating and then down weighting. For deaths, consideration should be given to annualizing partial year costs in a way that accounts for the exponential increase in monthly costs as death approaches\(^10\). | H/ACF       | Facility          |
| Cost and Resource Use |        | **Medicare Spending Per Beneficiary, Risk-adjusted and Price Standardized**  
MSPB measure evaluates hospitals’ efficiency relative to the efficiency of the median hospital. Specifically, the MSPB Measure assesses the cost to Medicare of services performed by hospitals and other healthcare providers during an MSPB episode, which comprises the period immediately prior to, during, and following a patient’s hospital stay | H/ACF       | Facility          |
| Cost and Resource Use |        | **Hospital All-Cause Unplanned Readmissions, Risk Adjusted**  
Hospital-wide, all-cause, risk standardized readmission rate (RSRR) following hospitalization for all conditions and procedures | H/ACF       | Facility          |
| Cost and Resource Use |        | **Diabetes Long-term Complications**  
The number of discharges for long-term diabetes complications per 100,000 population Age 18 Years and Older in a Metro Area or county in a one year time period | H/ACF       | Facility          |
| Cost and Resource Use |        | **Chronic Obstructive Pulmonary Disease**  
This measure is used to assess the number of admissions for COPD per 100,000 population | H/ACF       | Facility          |
| Cost and Resource Use |        | **Congestive Heart Failure Admission Rate**  
Percent of county population with an admissions for CHF | CO          | County, City, State |
| Cost and Resource Use |        | **Bacterial Pneumonia**  
Number of admissions for bacterial pneumonia per 100,000 population | H/ACF       | County or City, State |
| Cost and Resource Use |        | **Adult Asthma**  
Number of admissions for asthma in adults per 100,000 population | CO          | County, City, State |

\(^9\) See Appendix A for more details  
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<td>Cost and Resource Use</td>
<td>0281</td>
<td><strong>Urinary Tract Infection Admission Rate</strong>&lt;br&gt;Number of discharges for urinary tract infection per 100,000 population age 18 years and older in a metro area or county in a one year time period</td>
<td>H/ACF</td>
<td>County, City, State</td>
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<tr>
<td>Cost and Resource Use</td>
<td>ACSC</td>
<td><strong>Hospital ED Visit Rate that did not Result in Hospital Admission, by Condition</strong>&lt;br&gt;Numerator: All beneficiaries attributed to the Group Practice Reporting Option (GPRO) group with a given CCW indicator, sum the number of ED visits identified in the Outpatient SAF as specified by ResDac&lt;sup&gt;11&lt;/sup&gt;.&lt;br&gt;Denominator: Count number of beneficiaries attributed to the GPRO group with a given CCW flag.&lt;br&gt;<em>Note: Please also consider ED observation unit visit rates</em></td>
<td>H/ACF</td>
<td>County, City, State</td>
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Appendix A

Total Cost of Care Measurement Recommendations

*The following principles are recommendations for meaningful measurement of fee-for-service Medicare Part A and B costs. Exceptions to these guidelines should be fully explained and well justified.*

**Price Standardization:** To adjust for payment system variation that is not directly related to utilization decisions.

**Recommendation:** Standardize for area wages (WI, GPCI, etc.), disproportionate share hospital (DSH), and Indirect Medical Education (IME). Other standardization criteria may also apply, such as for site of service differentials, COLA, CAHs, etc depending on the research question of interest. For trend analysis and comparisons over multiple years, constant dollar adjustment shall be considered to account for inflations.

**Rationale:** The basic unit of analysis should be related to changes in utilization and not to artifacts of the Medicare payment system.

**Truncation:** To remove extreme values in data, but not to obscure the effects of outliers

**Recommendation:** Do not routinely truncate extreme values, except those related to obvious data errors. If truncation is necessary, a variety of model diagnostics and sensitivity analyses is recommended to ascertain whether spurious data points are driving the conclusions that are being drawn from the model. In that case, censoring observations may be justified.

**Rationale:** The inclusion of all values including high-cost patients will provide a more reliable measure of the cost to the Medicare program.

**Risk Adjustment:** To reduce the variation in expenditures that stem from differences in risk factors

**Recommendation:** Risk adjustment techniques should be applied to data when appropriate for the analysis. Depending on the analysis and time periods involved, a demographic model leading up to a full HCC model may be considered. HCCs would be an appropriate risk adjuster in most cases, and is available as a prospective or concurrent adjuster, depending on the research question being addressed.

**Rationale:** In order to account for inherent population risk differences, comparisons between different populations will generally require risk adjustment. However, in cases where the population characteristics can be controlled or propensity matched, less reliance may be made in applying risk adjustment models. For programs where the objective is to reduce risk factors, risk adjustment must be carefully applied so as to not adjust away the benefits of the intervention.

**Partial year beneficiary data:** To account for partial year participation

**Recommendation:** Beneficiaries with partial year claims history should not be routinely excluded from analysis. In most cases, partial year costs can be annualized, and those observations assigned lesser weights in the analysis, based on the proportion of the year during which claims experience was observed. In the case of deaths, consideration should be given to annualizing partial year costs in a way that accounts for the exponential increase in monthly costs as death approaches.

**Rationale:** Partial year beneficiary data can be informative, and can skew the analysis if routinely ignored. Deaths represent a partial year situation of special importance because of the high costs and heavy use of services in the last months of life. Please see: Lubitz J, Riley G. *Trends in Medicare Payments in the Last Year of Life.* *N Engl J Med* 1993; 328: 1092-1096