

V. CT SIM Design– Budget Narrative and Itemized Expenditure Plan

Figure 4: Key Personnel

| Table A. Personnel | |
|--------------------------------------------------------|---------------------|
| Model Design Coordinators, Durational Employee (2) | \$120,000.00 |
| Administrative Support (Durational Employee) | \$ 25,000.00 |
| Program Planners support to State Agency Officials (4) | \$200,000.00 |
| Total Personnel | \$345,000.00 |

Two Innovation Model Design Coordinators will be hired within the Office of Health Reform & Innovation for the duration of this project to oversee the day-to-day activities of the Planning Team. One coordinator will focus on internal activities, including coordinating the development of legislative and regulatory reform proposals with state legislative liaisons; facilitating the scheduling of public officials for meetings and public forums; and assisting in hiring and supervising of durational staff to assist state officials serving on the Planning Team. A second coordinator will manage the procurement of required consulting services; oversee contract negotiation and finalization; and monitor contractor performance and adherence to Planning Team priorities of stakeholder engagement and transparency. A durational employee will be hired to provide administrative support for the Coordinators. In addition, four durational employees will be hired (one for each agency) to provide support for the State Officials who are appointed to serve on the Planning Team (DSS, DPH DMHAS and the Comptroller’s Office).

Figure 5: Fringe Benefits

| Table B. Fringe Benefits | |
|------------------------------------------------------|---------------------|
| CT fringe benefit rate of .63 of salary total | \$217,350.00 |

The Connecticut state employee fringe benefit rate includes payroll taxes (FICA, Medicare, unemployment); state retirement contribution; and health insurance.

Figure 6: Contracted Services

| Table C. Contracted Services | |
|----------------------------------------------|-----------------------|
| Strategic Planning and Project Management | \$ 975,000.00 |
| Community Outreach, Education and Engagement | \$ 125,000.00 |
| Financial and Data Analytics | \$ 490,000.00 |
| Legal and Legislative | \$ 150,000.00 |
| Quality Measurement | \$ 150,000.00 |
| Scope of Practice Review | \$ 150,000.00 |
| Program Evaluation Design | \$ 150,000.00 |
| Health Care Data and Information | \$ 150,000.00 |
| Total Contracted Services | \$2,340,000.00 |

Connecticut will hire consultants and advisers to manage its Model Design process; maximize stakeholder involvement in the process; utilize academic, financial and legal expertise to conduct an assessment of the state’s current models of care and payment and the impact of potential new initiatives; select effective performance measurement tools to evaluate progress; and draft state innovation model(s). Expertise needed for this process includes:

Strategic Planning and Project Management expertise to support the Planning Team in developing models for submittal to CMMI. This work will include close collaboration with the Connecticut Innovation Model Design Coordinators to guide the Planning Team’s strategic planning effort; provide the resources necessary to ensure broad stakeholder involvement in the development of Connecticut’s innovation model(s) design; plan, support, coordinate and follow-up on all meetings; prepare outreach materials; manage public forums; coordinate consultants and integrate their findings into agendas and products of the Planning Team; prepare briefings and reports on all findings; and provide technical assistance to the Planning Team.

Community Outreach, Education and Engagement expertise to organize and lead well-publicized fact-finding focus groups and community-based grassroots meetings (including

translation services and transportation assistance as needed); prepare educational materials; analyze findings; and brief the Planning Team.

Financial and Data Analytics expertise to provide specialized modeling and simulation, health economics, a review of the effects of changing Medicaid payment models on providers, and a review of market effects of health reform initiatives to assist the Planning Team in its selection of initiatives to be included in its Model Design.

Legal and Legislative expertise to identify legislative, regulatory, waiver or state plan changes needed to implement selected initiatives.

Quality measurement and evaluation design expertise to assist in the selection of quality and performance metrics to measure the cost and quality impact of selected initiatives and to measure the impact on population health; evaluate primary care medical home initiatives, advise on strengthening and broadening the use of HIT and HIE.

Medical and regulatory expertise to conduct a comprehensive “Scope of Practice” review that analyzes policies and offers options that allow all health care professionals to practice at the top of their licenses or practice areas.

Information technology and data utilization expertise to conduct an assessment of how the health care system is using information technology to integrate care, support payment and care delivery models and to expand consumer access to health care information.

Figure 7: Cost of Travel and Training

| Table D: Travel Costs and Training | |
|-------------------------------------------|--------------------|
| In-State Travel | \$16,600.00 |
| Out of State | \$30,500.00 |
| Total Travel | \$47,100.00 |

This item supports in-state travel expenses associated with Planning Team member and staff attendance at meetings. This item also supports travel required to conduct two out-of-state site visits for the purpose of evaluating new payment and service delivery strategies and models and to attend three CMMI conferences by Planning Team members and/or staff.

Figure 8: Other Costs for Project Period

| Table E: Other Costs for Project Period | |
|------------------------------------------------------------------------------------------------|--------------------|
| Meeting materials | \$8,000.00 |
| Fees for National Health Care expert presenters | \$30,000.00 |
| Copying and document preparation, including reports of findings, briefings and recommendations | \$10,000.00 |
| Telecom fees | \$8,000.00 |
| Total Other Costs | \$56,000.00 |

This supports costs associated with an aggressive meeting schedule designed to facilitate a review of the current health care landscape in CT, including: impact assessments of potential state initiatives, ensure stakeholder engagement and community-based participation in the process and the selection of innovation model(s) for testing. This item supports two (2) Planning Team off-site strategic planning meetings, including keynote addresses from appropriate health care experts, and reports from each of the analytic consultants on their findings and recommendations. This item also supports the copying and document preparation costs associated with the schedule of meetings.

Figure 9: Indirect and Overhead Costs for Project Period

| Table F: Indirect and Overhead Costs for Project Period | |
|----------------------------------------------------------------|---|
| Indirect Costs/Overhead Costs | 0 |

This request does not include an indirect or overhead percentage.

Figure 10: Total Funding Requested for Project Period

| Table G: Total Funding Requested | |
|-----------------------------------------|-----------------------|
| Total funding request | \$3,005,450.00 |

Figure 11: In-Kind Support for Project Period

| Table H: In-Kind Support for Project Period | |
|----------------------------------------------------|---------------------|
| Office of the Governor/Lt. Governor | |
| Office of the Health Care Advocate | |
| Office of Health Reform & Innovation | |
| Office of Policy and Management | |
| Department of Insurance | |
| Department of Mental Health and Addiction Services | |
| Department of Public Health | |
| Department of Social Services | |
| Office of the Comptroller | |
| Total Support from state agencies | \$352,000.00 |
| Office Supplies for state staff | \$ 630.00 |
| Total In-Kind Support | \$352,630.00 |

Figure 12: Equipment Cost for Project Period

| Table I: Equipment Cost for Project Period | |
|---------------------------------------------------|--------------------|
| Computer/Laptop (2) | \$ 4,400.00 |
| Software (operating and project management) | \$ 9,000.00 |
| Printers (1) | \$ 1,000.00 |
| Total Equipment | \$14,400.00 |

Computer equipment and software will be used by the Innovation Design Coordinators for all aspects of their work. Specialized project management software will give the Coordinators the necessary tools to coordinate with project management consultants.

J. Attestation: The State of Connecticut attests that CMMI Funds received by the State of Connecticut for SIM Design will not supplant funds from other sources.