

1. DATE ISSUED MM/DD/YYYY 04/19/2013	2. CFDA NO. 93.624	3. ASSISTANCE TYPE Cooperative Agreement
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Department of Health and Human Services

Centers for Medicare & Medicaid Services

Office of Acquisitions and Grants Management

7500 Security Boulevard
Baltimore, MD 21244

NOTICE OF AWARD

AUTHORIZATION (Legislation/Regulations)
Section 1115A of the Social Security Act (added by section 3021 of the
Patient Protection and Affordable Care Act (P.L. 111-148))

1a. SUPERSEDES AWARD NOTICE dated 03/20/2013
except that any additions or restrictions previously imposed remain
in effect unless specifically rescinded

4. GRANT NO. 1G1CMS331162-01-02 Formerly	5. ACTION TYPE Post Award Amendment
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6. PROJECT PERIOD MM/DD/YYYY From 04/01/2013	Through 09/30/2013
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7. BUDGET PERIOD MM/DD/YYYY From 04/01/2013	Through 09/30/2013
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8. TITLE OF PROJECT (OR PROGRAM)
State Innovation Models: Funding for Model Design

9a. GRANTEE NAME AND ADDRESS
Connecticut Office of Policy and Management
450 Capitol Ave
Hartford, CT 06106-1365

9b. GRANTEE PROJECT DIRECTOR
Ms. Bettye Jo Pakulis
210 CAPITOL AVE
HARTFORD, CT 06106-1365
Phone: 860-524-7341

10a. GRANTEE AUTHORIZING OFFICIAL
Ms. Victoria Veltri
450 CAPITOL AVE
HARTFORD, CT 06106-1365
Phone: 860-331-2441

10b. FEDERAL PROJECT OFFICER
Mr. Jackson Williams
7500 Security Blvd
Baltimore, MD 21244-1849
Phone: 410-786-8806

ALL AMOUNTS ARE SHOWN IN USD

11. APPROVED BUDGET (Excludes HHS Direct Assistance)

I HHS Grant Funds Only	
II Total project costs including grant funds and all other financial participation	II
a. Salaries and Wages	345,000.00
b. Fringe Benefits	238,050.00
c. Total Personnel Costs	583,050.00
d. Equipment	3,700.00
e. Supplies	9,017.00
f. Travel	14,954.00
g. Construction	0.00
h. Other	42,306.00
i. Contractual	2,199,308.00
j. TOTAL DIRECT COSTS →	2,852,335.00
k. INDIRECT COSTS	0.00
l. TOTAL APPROVED BUDGET	2,852,335.00
m. Federal Share	2,852,335.00
n. Non-Federal Share	0.00

12. AWARD COMPUTATION FOR GRANT

a. Amount of HHS Financial Assistance (from item 11 m)	2,852,335.00
b. Less Unobligated Balance From Prior Budget Periods	0.00
c. Less Cumulative Prior Award(s) This Budget Period	2,852,335.00
d. AMOUNT OF FINANCIAL ASSISTANCE THIS ACTION	0.00
13. Total Federal Funds Awarded to Date for Project Period	2,852,335.00

14. RECOMMENDED FUTURE SUPPORT

(Subject to the availability of funds and satisfactory progress of the project):

YEAR	TOTAL DIRECT COSTS	YEAR	TOTAL DIRECT COSTS
a. 2		d. 5	
b. 3		e. 6	
c. 4		f. 7	

15. PROGRAM INCOME SUBJECT TO 45 CFR PART 74, SUBPART F, OR 45 CFR 92.25, SHALL BE USED IN ACCORD WITH ONE OF THE FOLLOWING ALTERNATIVES:

- a. DEDUCTION
- b. ADDITIONAL COSTS
- c. MATCHING
- d. OTHER RESEARCH (Add / Deduct Option)
- e. OTHER (See REMARKS)

b

16. THIS AWARD IS BASED ON AN APPLICATION SUBMITTED TO, AND AS APPROVED BY, HHS ON THE ABOVE TITLED PROJECT AND IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

- a. The grant program legislation cited above.
- b. The grant program regulations cited above.
- c. This award notice including terms and conditions, if any, noted below under REMARKS.
- d. HHS Grants Policy Statement including addenda in effect as of the beginning date of the budget period.
- e. 45 CFR Part 74 or 45 CFR Part 92 as applicable.

In the event there are conflicting or otherwise inconsistent policies applicable to the grant, the above order of precedence shall prevail. Acceptance of the grant terms and conditions is acknowledged by the grantee when funds are drawn or otherwise obtained from the grant payment system.

REMARKS (Other Terms and Conditions Attached -

☐ Yes

☒ No

This Notice of Award approves the lifting of restriction in the amount of \$2,199,308 per the Grantee's request dated April 11, 2013.

GRANTS MANAGEMENT OFFICER: Michelle Feagins, Grants Management Officer

17. OBJ CLASS 4115	18a. VENDOR CODE 1066000798Q1	18b. EIN 066000798	19. DUNS 807853015	20. CONG. DIST. 01
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	AMT ACTION FIN ASST	APPROPRIATION
21. a. 3-5991321	b. SIMM131162	c. SIM	d. \$0.00	e. 75X0522
22. a.	b.	c.	d.	e.
23. a.	b.	c.	d.	e.