

The Office of the National Coordinator for  
Health Information Technology



# Health IT Supplemental Guidance for SIM State Health IT Components of Innovation and/or Operational Plan

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Putting the **I** in Health **IT**   
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- **Process for TA**

1. Today: overview of what the documents are and how they can be used by both Test and Design SIM States
2. 6/11/15: 4-5 p.m. eastern time -- “office hours” with no presentation but both Test and Design SIM states can call in and ask questions after having a chance to look at the documents
3. Individual State Specific TA: request through TASC and SIM PO for individual assistance with developing State Health IT Components of “Innovation” or “Operational” Plan

- **Purpose of Health IT Supplemental Guidance:**

1. Support the development of the state’s health IT components of their SIM Model Test/Model Design Plan
2. Assist the state in implementing and utilizing health IT to support the other components of their SIM Model Test/Model Design Plan

- **Components of Health IT Supplemental Guidance:**
  1. Cover Letter (Varies by Test and Design)
  2. HIT Plan Supplemental Guide (Varies by Test or Design)
  3. HIT Plan Checklist (Same for Test and Design)
  4. HIT Plan Workbook in Word Format (Same for Test and Design)  
HIT Plan Workbook in Excel Format (Same for Test and Design)

- **Parameters for Use**
  1. Templates and tables are “samples” and optional
  2. No expectation that all the templates and/or all the elements of the templates are relevant to every SIM state

Health IT Components & Context	Guide Table & Page	Completed (v)
<p><b>Overarching Information Needs:</b> Based on what the SIM awardee is trying to improve by a certain date</p> <p><b>Overarching Data Needs:</b> Based on the information needs identified above</p> <p><b>Overarching Health IT Needs:</b> Based on the information and data needs identified above</p> <p>-----</p> <p>-----</p> <p><b>Overarching:</b> How specified Health IT elements and/or programs, in combination, will achieve state-wide health transformation</p> <p><b>A. Governance:</b></p> <p><b>B. Policy:</b></p> <p><b>C. Infrastructure:</b></p> <p><b>D. Technical Assistance</b></p>	<p>Table 1 Page 3 and the result of analysis of multiple tables</p>	<p>5</p>

- Relevant ***optional*** illustrative templates: alignment with driver

<b>Workbook Templates</b>	<b>Table</b>	<b>Workbook Tab</b>
Health IT Support for Data/Information for Driver Diagram	Table 1	Tab 1

- Domains: Governance-Policy-Infrastructure-Technical

<b>Workbook Templates</b>	<b>Table</b>	<b>Workbook Tab</b>
Diagram(s) of Organizational Structure(s) related to Health IT	<b>Table 2</b>	<b>Tab 10</b>
Health IT Related Positions	<b>Table 3</b>	<b>Tab 6</b>
Description of How Health IT Organizational Structure(s) Incorporated into Overall Organizational Chart	<b>Table 4</b>	<b>Tab 10</b>

# Stakeholder Engagement

(Supplemental Guide, pg. 5)



Awardees should consider:

- The inclusion of federal, state, local and tribal governments, physical health, behavioral health (BH), and public health (PH) care providers/systems, commercial payers/purchasers, community-based and long term support (LTPAC/LTSS) providers, regional HIE(s)/HIO(s) (if applicable), consumers, state Regional Extension Center, and tribal communities;
- The process for stakeholder engagement; and
- If through the SIMs process stakeholders identified key health IT priorities and/or specific health IT requests, the priorities/requests and how the priorities/request support the SIM initiative.

<b>Workbook Templates</b>	<b>Table</b>	<b>Workbook Tab</b>
Health IT Stakeholder Engagement	<b>Table 10</b>	<b>Tab 6</b>
Health IT Stakeholder Engagement Process	<b>Table 11</b>	<b>Tab 6</b>
Health IT Stakeholder Priorities/Requests	<b>Table 12</b>	<b>Tab 6</b>

# Health IT Plan: Workbook



• **Table 1: Health IT Support for Data/Information for Driver Diagram (Workbook Tab 1)**

<p>Metric: What data will be used to track progress (how much and by when)?</p> <p><i>(copy from Operational Plan Driver Diagram-Tab 1)</i></p>	<p>Who needs the data? (1) state (2) HC delivery systems/managed care entity (3) providers (4) patients/enrollees</p>	<p>What Health IT is needed to support data collection, retention, aggregation, analysis, dissemination? (what and by when)</p>	<p>What Health IT policy (P), technical assistance (TA), technology (IT), or business operation (O) changes are required and by when?</p>	<p>Identify and explain policy levers that will be used (if applicable):                      (1)statutory/regulatory (2) Leveraging State Purchasing - Medicaid (managed care contracting/MMIS/MU Program) (3) Leveraging State Purchasing - State Employees (4)Leveraging Private Financing</p>	<p>Identify challenges &amp; additional clarifications regarding Health IT Policy (P), TA, technology (IT) or business operation (O) changes required by item</p>
<p><i>Examples: clinical data from the EHR; claims data from the APCD; payment data from the MMIS; etc.</i></p>	<p><i>Examples: state - for reporting to state legislature; delivery system- to determine if a change in benefits required; provider - care team for delivery of care; patient - compliance with treatment plan; etc.</i></p>	<p><i>Examples: Collection – connectivity between BH, PH and medical providers, Retention - Data Repository, Aggregation – patient matching; Analysis - Data Analytic capability; Dissemination – HIE; etc.</i></p>	<p><i>Examples: TA - TA to providers regarding specifications; IT -data analytic software at the state; P - data sharing agreement; O- policies and procedures; etc.</i></p>	<p><i>Examples: amendment to state law; contract language in managed care contract; condition of participation; direct or indirect payment; etc.</i></p>	<p><i>Examples: P – legislative action; TA – funding; IT – procurement; O – timelines; etc.</i></p>

- **Table 2: Organizational Structure(s) related to Health IT (Workbook Tab 10)**

*(May include diagram(s) and/or narrative)*

- **Table 5: Health IT Organizational Capacity –Staffing (Workbook Tab 6)**

HIT Activity <i>(Repeat this column from first column in previous table)</i>	Staffing Resources & Roles <i>(beyond “lead” which is identified in previous table)</i>		Recruitment Mechanisms <i>(narrative if any special efforts or N/A)</i>	Training <i>(indicate staff (S) or contractor (C) or both (B)) (indicate new (N) existing (E) or both (B)) (Indicate if mandatory training (M) or optional training(O) &amp; if training type/content)</i>			
	Number	Roles		S/C/B	N/E/B	M/O	Type/Content
<i>Examples: HIE Infrastructure for Driver X; Health IT Data Repository; Identity Management; Privacy and Security; etc.</i>	<i>Examples: actual or estimated number</i>	<i>Examples: technical; managing contracts; business analysts; policy; etc.</i>	<i>Examples: link to community colleges); special newspaper advertising; special training; etc.</i>				<i>Examples: web; one-on-one; classroom internal; community college training; etc.</i>

# Leveraging Existing Assets

- **Table 13: Current State of Health IT for the Key Care Delivery Transformation and Payment Reform SIM Commitments (Workbook Tab 7)**

Health IT Item	Detail			If Y in Detail: Operational (O) Planned (P)	Total Number or Unknown	As of Date	Clarifying Comments/Further Explanation
<b>HIE and EHR Use in State</b>							
Statewide HIE	State Government*	Query: DIRE CT: Both:	Y/N Y/N Y/N				
	Non-State Government: Name of entity _____ _____	Query: DIRE CT: Both:	Y/N Y/N Y/N				

# Policy Levers and Quality Reporting



- **Table 16: Health IT Policy Levers for the Key Care Delivery Transformation and Payment Reform SIM Commitments (Workbook Tab 8)**

Health IT Policy Lever	Detail	Policy Lever Operational by Detail Level <i>Yes/No (OY/ON)</i> Planned <i>Yes/No (PY/PN)</i>	If Operational or Planned As of Date	Clarifying Comments/Further Explanation <i>(If language, copy and paste language in this column)</i>
<b>Statutory or Regulatory Authority</b>				

- **Table 27: Percentage of Provider Organizations Enabled for HIE Core Measure (Workbook Tab 2)**

Core Measure Element	Define and Identify Data Source
Target Goal by Project Period	Specify Goal:
Baseline	Define baseline:
Number of provider organizations enabled for health information exchange.	Define Provider Organizations:  Define "enabled":  Data Source:

# Initial Questions, Comments and Next Steps

- Identify your state and whether you are test or design state please and then ask question and/or provide comments

- Next Steps